

IIM MUMBAI

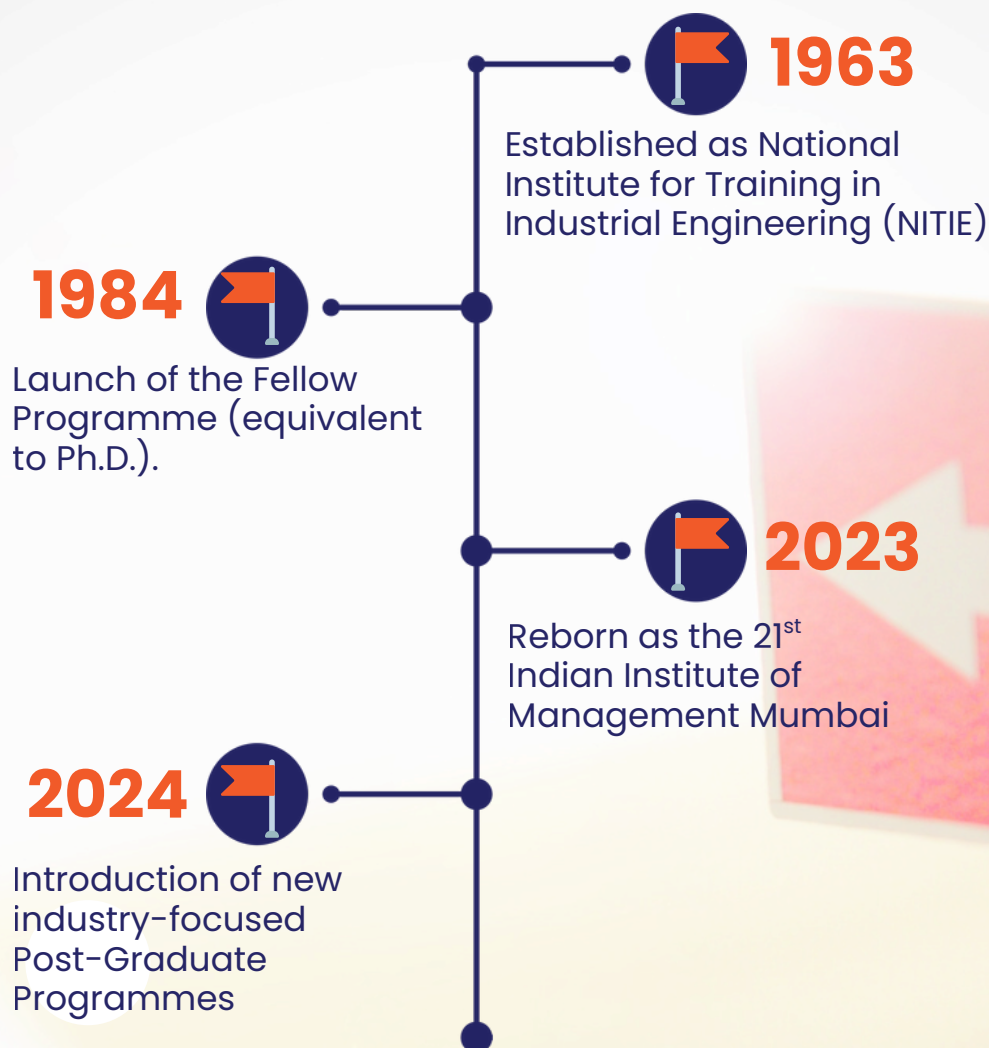
FORGE THE FUTURE OF MANAGEMENT RESEARCH

Ph.D. PROGRAMME | BROCHURE 2026

The Doctoral Programme | Indian Institute of Management Mumbai

ज्ञानम् जयति सर्वत्र
(‘Knowledge triumphs everywhere’)

Our 60-Year Journey



A National Leader in Management Education



Ranked 6th among all Management Institutes in the country by the National Institutional Ranking Framework (NIRF), Ministry of Education.

Located in the financial capital of India, IIM Mumbai provides an excellent platform for students who aspire to be tomorrow's leaders, conducting applied research and undertaking special projects for public and private sectors.



"Our doctoral scholars work closely with faculty who are not only accomplished researchers, but also committed mentors. We aim to develop scholars who can shape academic discourse, inform policy, and influence practice with integrity and insight."

- Shri. Shashi Kiran Shetty,
Chairman, Board of Governors, IIM Mumbai



"Our PhD Programme is a rigorous intellectual journey. Scholars are encouraged to engage deeply with AI-and ML-enabled research methods... The emphasis is not merely on using advanced tools, but on developing rigorous, interpretable, and responsible research that stands up to global academic scrutiny."

- Dr. Manoj Kumar Tiwari
Director, IIM Mumbai

► Two Distinct Paths to Research Leadership



Regular Ph.D. Programme **For the Dedicated Full-Time Scholar**

Designed to nurture exceptional scholars who delve into the field of management from a research perspective that goes beyond the obvious. This programme shapes individuals for impactful careers in academia, research, business, and consulting.



Executive Ph.D. Programme **For the Experienced Professional**

For professionally employed persons who pursue the Ph.D. while continuing their service duties. Research work is carried out largely in the parent organization under the guidance of IIM Mumbai faculty.

REGULAR Ph.D. PROGRAMME

1. ABOUT REGULAR Ph.D. PROGRAMME

The Indian Institute of Management, Mumbai, admits Ph.D. Scholars (with financial assistance as per institute norms). The programme encompasses various facets of contemporary Industrial, Business, and Social Issues in *Operations and Supply Chain Management, Analytics and Data Science, Organizational Behaviour & Human Resource Management, Marketing, Finance, Economics & Strategy, and Sustainability Management*.

The programme admits regular candidates from Academic Institutions, Universities, Government, industry, and other organizations. IIM, Mumbai, invites individuals with a strong academic foundation and zeal to pursue cutting-edge research.

2. ELIGIBILITY FOR REGULAR PH.D. ADMISSION

Candidates must possess

A master's degree or Two-year Post Graduate Diploma (obtained after completing a bachelor's degree with 55% marks or equivalent) or a 5-year Integrated master's degree (obtained after completing higher secondary school in the 10+2 pattern) in any field with 55% marks or equivalent.

(OR)

Professional qualification – CA, CS, CMA (with a minimum of 50% marks) in addition to a bachelor's degree (with at least 55% marks).

(OR)

A four-year / eight-semester bachelor's degree with a minimum CGPA of 6.5/10 or comparable grades.

Note that the Bachelor's/ Master's degree or equivalent qualification should be from any of the Universities incorporated by an act of the central or state legislature in India or other educational institutions established by an act of Parliament or declared to be deemed as a University under section 3 of UGC Act, 1956; or be recognized by the Ministry of Education, Government of India; or possess an equivalent qualification from an institution approved by AICTE.

Candidates in their last year of a Master's/ bachelor's programme that would qualify them to apply may also apply. Such candidates, if selected, will only be allowed to join the programme provisionally if they submit a certificate latest by May 31, of the year of admission from the Principal/Head of the Department/Registrar or Director of the university/institute (issued on or before May 31, of the year of admission) stating that they have appeared for the examinations (including practical examinations) in all the subjects required for obtaining the Master's/Bachelor's degree/equivalent qualification. Their admission will be confirmed upon submission of mark sheets and a certificate confirming completion of the Master's/bachelor's degree/equivalent qualification referred to in the certificate issued by the Principal/Registrar of their college/institution. The deadline for submitting the mark sheet and certificate is December 31 of the year of admission.

Please note that candidates who have applied based on their master's degree must meet the 55% marks stipulation, while those who have applied based on their bachelor's degree must meet the 6.5 CGPA (or equivalent) marks stipulation. Non-fulfillment of these conditions will automatically result in the cancellation of the provisional admission.

The applicants should preferably be below 35 years of age.

Reservation: A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently Abled, Economically Weaker Section (EWS) as per GoI rules. Reservation policy will be followed as per the Government of India rules.

3. SELECTION PROCESS

The selection of candidates for admission at IIM, Mumbai, is a three-step process. First, initial screening and shortlisting; second, a written test; and third, a Research Presentation and a Personal Interview.

Candidates applying for the Ph.D. Programme are required to take the IMAT (IIM Mumbai written Test). Candidates qualified in Common Admission Test (CAT)/ GRE/GMAT/UGC-JRF/GATE and having valid scores (as per institute norms) are only eligible for a stipend. The validity of the CAT and the other standard test scores is given in the following table. Please note that the scores must be valid by the deadline for submitting the application form.

CAT	5 Years
GRE#	5 Years
GMAT#	5 Years
GATE	3 Years
UGC JRF	3 Years

Online GMAT/GRE (Take-home) exam scores are not valid. Only test center-based GMAT/GRE scores are valid.

Those candidates who do not have a valid CAT/GRE/GMAT/UGC-JRF/GATE score on the last date of application for PhD, may attempt to qualify for the above-mentioned exam before 30th September 2026 and obtain a minimum specified score as per institute norms in order to be eligible for a stipend for the balance period of PhD.

Candidates will be short-listed based on their minimum eligibility criteria. The Ph.D. admission interviews will be held in March/April/May of the year of admission.

3.1 Initial Screening & Shortlisting

In this first step, candidates are screened from among the candidates:

- Who has submitted the filled-in application form to the Ph.D. Programme and paid the prescribed application fees within the window of time specified, and
- Who fulfills the eligibility criteria for the Ph.D. Programme.

Candidates shortlisted for the admission process to the Ph.D. Programme at IIM, Mumbai, will only be intimated by online notification. All the candidates are requested to check the admission portal from time to time. Individual communication will not be sent to applicants.

Shortlisted candidates will be called for the IIM, Mumbai, written test, Research Presentation, and Personal Interview (PI) at IIM, Mumbai. All shortlisted candidates must attend the IIM, Mumbai, written test, Research Presentation, and Personal Interview; otherwise, they shall not be considered for the admission process further.

3.2 Selection Process for Shortlisted Candidates

All shortlisted candidates must attend the IIM, Mumbai, written test, Research Presentation, and Personal Interview. However, the institute reserves the right to change the admission process.

3.2.1 Written Test

All shortlisted candidates will appear for the written test. The written test may include multiple-choice questions from Verbal Ability & Reading Comprehension (VARC), Data Interpretation & Logical Reasoning (DILR), and Quantitative Aptitude (QA). The written exam may include questions based on Mathematics up to the 10th standard, GK, and research methodology. Besides the MCQ, the written exam may also include subjective questions and a section assessing writing ability.

3.2.2 Research Presentation and Personal Interview

Only qualified candidates who pass the IMAT (IIM Mumbai written Test) will be considered for further process, which includes a research presentation and personal interview. However, the institute reserves the right to change the admission process.

The Research Presentation will be based on the research proposal submitted by the candidate. This evaluation will be done by expert panels. The panel may test the subject knowledge, motivation for research, communication skills, and overall research potential. Project/ Work experience in the mentioned research areas would be preferred.

3.3 Merit List Preparation

The final merit list will be prepared based on the candidates' performance in the various evaluation components, as outlined in the table below.

Sr No.	Particular	Weightage (%)
1	IIM Mumbai Written Test	40
2	Research Presentation (RP)	15
3	Personal Interview (PI)	25
4	Past Academic Performance	20
	Total	100

The final merit list shall be prepared based on the composite score of all candidates. However, the Institute reserves the right to change the evaluation component and its weightage.

Selected candidates must join the Ph.D. Programme on the starting date, as stipulated by the Institute.

3.4 Offer Acceptance, Withdrawal, and Refund

Candidates shortlisted for admission to the Ph.D. programme at IIM, Mumbai, will be intimated through online notification. A candidate who receives an admission offer from IIM, Mumbai, and accepts the offer within the stipulated date must pay the full admission fee. If a candidate subsequently withdraws the admission at any point in time, then the paid amount will be refunded after deducting processing charges as per norms as described below:

A candidate who does not join the Programme after paying the academic charges, other annual charges, and deposits, etc., and has intimated the Institute of his/her decision of not joining, and if this intimation is received in writing by the Institute before the commencement of the Programme and if the vacant seat is filled by the waitlisted candidate then the academic charges, other charges, and deposits will be refunded as per Government of India rules vide notification no. 14-4/2007 –U.3(A).

When a Scholar discontinues from the Programme after joining the Programme or is terminated by the Institute, whether in the beginning or mid-way, and the resultant vacancy cannot be filled by the waitlisted candidates, then the academic charges and other annual charges paid by him/her will stand forfeited. However, his/her deposits (caution money) will be refunded to him/her after deducting the outstanding amounts specified with regard to the NO DUES CERTIFICATE issued by various departments.

3.5 Admission Closes

- The complete admission process shall be over before the commencement of classes.
- In all matters of interpretation, the decision of the Institute shall be final and binding on the candidate.
- Any legal matter related to rules and regulations under the Ph.D. admission Process shall be subject to the Mumbai jurisdiction only.

4. FEE STRUCTURE

Limited On-campus hostel accommodation is available to regular PhD scholars for the current academic year due to institutional constraints. In view of this, eligible scholars (only those who will not get accommodation in IIM Mumbai campus) enrolled in the regular PhD programme shall be provided House Rent Allowance (HRA) at 30% of the monthly stipend, subject to Institute norms and submission of the prescribed documentation. HRA shall be admissible in accordance with the rules, procedures, and periodic verification requirements prescribed by the Institute from time to time.

Continuation of stipend and/ HRA is subject to:

- 1.Satisfactory academic performance and periodic academic review Compliance with Institute rules, regulations, and academic requirements
- 2.Fulfilment of eligibility conditions and timely submission of required documentation

The fees applicable for regular Ph.D. scholars will be:

Option-1:

FEES APPLICABLE (For regular Ph.D scholars residing in IIM Mumbai campus)

SR. NO	FEES NOMENCLATURE	REGULAR Ph.D	
		1ST YEAR	2ND YEAR ONWARDS AT THE BEGINNING OF EACH YEAR*
1	FEES	(₹)	(₹)
	ADMISSION FEE	2000	NA
	TUITION FEE	24000	24000
2	FACILITIES CHARGES	6000	6000
3	HOSTEL CHARGES	24000	24000
4	IIM ALUMNI ASSOCIATION (MEMBERSHIP AND CONTRIBUTION)	3000	-
5	LIBRARY FEE	1000	1000
6	DEPOSITS (Caution Money)	5000	NA
	TOTAL	65000	55000
	IIM ALUMNI ASSOCIATION (MEMBERSHIP AND CONTRIBUTION)		#10000

Alumni Life Membership to be paid after the defense.

* Fee for 2nd year onwards to be paid at the beginning of each year till the submission of thesis.

Option-2:
FEES APPLICABLE (For regular Ph.D scholars residing outside IIM Mumbai campus)

SR. NO	FEES NOMENCLATURE	REGULAR Ph.D	
		1ST YEAR	2ND YEAR ONWARDS AT THE BEGINNING OF EACH YEAR*
1	FEES	(₹)	(₹)
	ADMISSION FEE	2000	NA
	TUITION FEE	24000	24000
2	FACILITIES CHARGES	6000	6000
3	IIM ALUMNI ASSOCIATION (MEMBERSHIP ANDCONTRIBUTION)	3000	-
4	LIBRARY FEE	1000	1000
5	DEPOSITS (Caution Money)	5000	NA
	TOTAL	41000	31000
	IIM ALUMNI ASSOCIATION (MEMBERSHIP ANDCONTRIBUTION)		#10000

Alumni Life Membership to be paid after the defense.

* Fee for 2nd year onwards to be paid at the beginning of each year till the submission of thesis.

The Institute reserves the right to revise the fee structure, stipend, HRA, or other financial support provisions in accordance with statutory approvals and policy decisions.

Delay in the payment of fees beyondthe prescribed time will lead to penaltyand subsequent cancellation of the candidature from the Ph.D. programme.

5. FINANCIAL ASSISTANCE

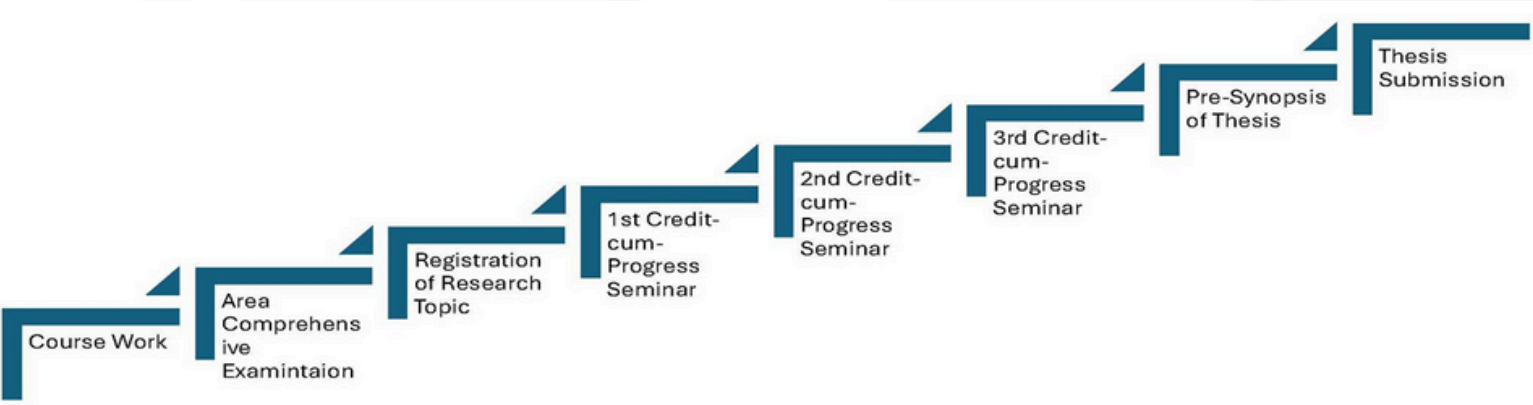
- Regular Ph.D. full-time scholars who qualify for financial assistance receive Rupees 37,000/- per month during the 1st and 2nd year of the Ph.D. Programme and Rupees 42,000/- per month during the 3rd, 4th, and 5th years of the Ph.D. Programme as per Ministry of Education guidelines.
- A grant of up to a maximum of two lakhs may be availed for presenting a paper at an International Conference abroad (Subject to publications of two Q1 papers in a domain-specific research journal, as per institute norms).
- The amount and duration of scholarships and financial assistance provided to Ph.D. scholars are subject to change from time to time as per the Government of India rules.

6. DURATION OF THE PROGRAMME

- The Ph.D. programme consists of the following stages: Course work, Registration cum Research Proposal seminar, Three Credit Seminars, Synopsis Submission, Thesis submission, and final viva voce examination.
- Since financial assistance to Ph.D. Scholars are provided for a period of 5 years from the date of admission, it is expected that the Scholar should plan the research activities to complete the research and submit the Thesis during this period.
- A Ph.D. Scholar may submit his/her thesis only after a minimum of 2 years from the date of registration of the research topic. The maximum time period allowed for submitting the Thesis is 5 years from the date of admission or 4 years from the date of registration of the research topic, whichever is earlier.
- If a Ph.D. scholar fails to submit the Thesis within the above time limit due to reasons beyond his/her control, he/she may apply for a grant of extension to the competent authority through TAC. In the event of an extension being granted, the scholar must pay the re-registration fee of Rs. 20,000 (Rupees Twenty Thousand only) for the first year, along with the payment of the annual fee as applicable. The extension for the second year will be permitted only in exceptional cases, accompanied by a re-registration fee of Rs. 40,000 and the applicable annual fee. The grant of extension period is limited to a maximum of two years only, after completion of 5 years, without hostel accommodation.
- The candidature for the Ph.D. will be deemed to be cancelled on the recommendation of the competent authority through TAC if no progress is shown by the Ph.D. candidate without any claim for a refund of fees.
- During the re-registration tenure, there will be no waiver of concessions for reserved category students, and the annual fees must be paid in accordance with the Institute's norms.

6.1 Break or Unauthorized Absence

- Any break or unauthorized absence from the programme will lead to the cancellation of admission. Any authorized leave of absence from the campus for data collection or questionnaire surveys will not be considered a break in the study.
- If the desired progress is not made by the Scholar as per the above timelines, it may lead to the cancellation of his/her admission. The final decision on the removal of a Ph.D. Scholar from the programme or for time extension will be taken by the Director as Chairman of the Academic Council.



7. CURRICULUM FOR REGULAR PH.D.

The Ph.D. curriculum entails 13 Courses spread across three modules. Each course is worth three credits, totalling 39 credits spread across the following five core courses and eight research domain-related subjects

1. Data Science for Managerial Decisions
2. Optimization and Decision Techniques
3. Business Research Methods
4. Microeconomics for Managers
5. Research Design & Academic Writing

The proposed Ph.D. course structure offers scholars the opportunity to acquire a broad range of knowledge and flexibility in selecting courses aligned with their research interests.

13 Courses - 39 Credits

Module 1	5 Courses - 15 Credits	L1 - 2 L2 - 1 L3 - 2
Module 2	4 Courses - 12 Credits	L1 - 1 L2 - 1 L3 - 1 L4 - 1
Module 3	4 Courses - 12 Credits	L1 - 2 L3 - 1 L4 - 1

Summary of Course Distribution among Modules						
Course Level	Total Courses	Total Credit	M1	M2	M3	Type of Course
L1	5	15	2	1	2	PhD Core Courses
L2	2	6	1	1	-	PGP Courses (Courses from domain and other areas)
L3	4	12	2	1	1	Area Courses (Max 4)
L4	2	6	-	1	1	Seminar Presentation
Total	13	39	5	4	4	

8. GRADING SYSTEM

- The Scholar must score a minimum of 60% in total to pass a subject.
- If a Scholar obtains any grade from A+ to P in every course in a quarter, he/she has completed the credit requirements in that quarter.

9. GRADING SYSTEM

- Each Ph.D. scholar must present a registration cum research proposal seminar (RCRP) before the Thesis Advisory Committee (TAC). The RCRP seminar will be given after completing coursework (Modules I, II, and III) and the area comprehensive examination. The research fellow should submit 3 copies of the registration report. This report will include the following:
 - Proposed title of the thesis
 - Objectives of the research
 - Literature review and gaps identified
 - Proposed research area and scope of the study
 - Hypotheses, if any
 - Framework and usefulness of the proposed research
 - The methodology proposed for carrying out the research
 - A list of references.

In case the research Scholar fails to successfully defend his/her research proposal, he/she will be allowed to resubmit the modified research proposal as suggested by the TAC. He/she needs to give a revised seminar based on the modified research proposal. In case he/she fails to defend it the second time, the research scholar will be liable for removal from the programme.

9.1 Effective Date of Registration:

The effective date of registration will be the date of the registration seminar at which the TAC approves the presentation made by the research scholar, provided the research scholar has subsequently submitted their application for registration within the specified timeframe.

9.2 Application for Registration:

The Scholar must apply for formal registration within one month after successfully completing the registration seminar. The application for registration must be made in the prescribed form and should be accompanied by the Title and summary of the research proposal approved during the registration seminar, as well as the registration fee.

10. GENERAL REGULATIONS

All Ph.D. Scholars are expected to maintain continuous contact with their TAC and report their progress on a regular basis. The TAC can seek periodic progress reports from the candidate. Unsatisfactory progress in research will render the candidate liable to be removed from the programme upon the recommendation of the TAC.

The candidate shall pay the entire prescribed fee as and when it is due. Ph.D. scholars will face automatic disqualification and removal from the programme if they are found to be admitted to any other doctoral-level programme at another institution.

The Institute reserves the right to amend, modify, or change regulations as may be necessary, from time to time. All such changes will be binding on the Ph.D. Scholar.

In all matters of interpretation, the Institute's decision shall be final and binding on the Scholars. Any legal matter related to rules and regulations shall be subject to the jurisdiction of Mumbai only.

EXECUTIVE Ph.D. PROGRAMME

1. ABOUT THE EXECUTIVE PH.D. PROGRAMME

The Indian Institute of Management, Mumbai, admits professionally employed persons as Executive Ph.D. Scholars without any financial assistance. The programme encompasses various facets of contemporary Industrial, Business, and Social Issues in *Operations and Supply Chain Management, Analytics and Data Science, Organizational Behavior and Human Resource Management, Marketing, Finance, Economics and Strategy, and Sustainability Management*.

A Scholar in this category is a professionally employed person who pursues the doctoral Programme while continuing the duties of his/her service. The Institute does not provide any assistantship/fellowship to such a Scholar. The research work leading to a doctoral degree may be carried out largely within the parent organization, under the overall guidance of a faculty member from the Institute.

a) Officer/ Executive level employees of All India Services/ Central Government (Ministry of Defense, and all other Ministries and Departments)/ State Governments /Public Sector Undertakings/ Autonomous Bodies.

b) Individuals with rich Managerial experience from reputed Private Organizations.

- Such Organizations shall be listed in the premier Stock Exchanges and shall have an annual turnover of at least 500 crore rupees.
- There would be no residential requirements for such candidates. However, the candidate must spend at least one week per semester at IIM Mumbai, interacting with their supervisor(s). During this period, scholars may avail hostel facilities if available on an actual payment basis.
- Candidates should preferably have demonstrated research potential through research papers in reputed International Conferences/ Journals/ Patents/ Technology transfer.

2. ELIGIBILITY FOR EXECUTIVE PH.D.ADMISSION

A 1-year/2-semester master's degree programme (Full Time) with at least 65% marks in aggregate after a 4 year/8-semester bachelor's degree programme(Full Time) with at least 65% marks or a 2-year/4-semester master's degree programme (Full Time) with at least 65% marks after a 3-year bachelor's degree programme (Full Time) with at least 65% marks, or its equivalent grade wherever grading system is followed. Candidates applying based on master's degree programme should have at least 2 years of professional experience after master's degree.

(OR)

A candidate seeking admission after a 4-year/8-semester bachelor's degree programme (Full Time) should have a minimum of 75% marks in aggregate or its equivalent grade on a point scale, wherever the grading system is followed. Candidates applying based on a bachelor's degree programme should have a minimum of 4 years of professional experience after a bachelor's degree.

(OR)

A Candidate with professional qualifications CA, ICWA/CMA,CS (with at least 60% aggregate marks), along with a B.Com./ Degree (with at least 60% aggregate marks). Candidates applying based on the mentioned degree should have at least 2 years of professional experience after completion of their professional degree.

The upper age limit is preferably 45 years. For exceptionally brilliant Executive Ph.D. candidates, the age limit can be relaxed to 55 years. Candidates should preferably have demonstrated research potential through publications in reputable international conferences, journals, patents, or technology transfer.

Candidates who meet the above requirements should look out for prospective faculty member(s) from IIM MUMBAI in the area where he/she is interested to apply for his/her Doctoral Programme. A research proposal approved by the prospective Faculty Guide(s) shall be submitted by the candidate at the time of the application.

3. SELECTION PROCESS

The selection of candidates for admission at IIM, Mumbai, is a three-step process. First, initial screening and shortlisting; second, a written test; and third, a research presentation and a personal interview.

Candidates will be short-listed based on their academic background and experience. The Executive Ph.D. admission interviews will be held in March/April/May of the admission year.

3.1 Initial Screening & Shortlisting

In this first step, candidates are screened from among the applicants:

- Who has submitted the filled-in application form to the Executive Ph.D. Programme and paid the prescribed application fees within the specified window of time, and
- Who fulfils the eligibility criteria for the Executive Ph.D. Programme

Candidates shortlisted for the admission process to the Executive Ph.D. Programme at IIM, Mumbai, will be intimated through online notification only. All the applicants should check the admission portal from time to time.

Shortlisted candidates will be called for the IIM Mumbai written test, research presentation, and personal interview (PI) at IIM Mumbai.

3.2 Selection Process for Shortlisted Candidates

All shortlisted candidates must attend the IIM Mumbai written test, research presentation, and personal interview; otherwise, they shall not be considered for the admission process further.

3.2.1 Written Test

All shortlisted candidates will be required to appear for the written test. The written test may include multiple-choice questions from Verbal Ability & Reading Comprehension (VARC), Data Interpretation & Logical Reasoning (DILR), and Quantitative Aptitude (QA). The written exam may include questions based on Mathematics up to the 10th standard, GK, and research methodology. Besides the MCQ, the written exam may also include subjective questions and a section assessing writing ability.

3.2.2 Research Presentation and Personal Interview

Only qualified candidates who pass the IMAT (IIM Mumbai written Test) will be considered for further process, which includes a research presentation and personal interview. However, the institute reserves the right to change the admission process.

The Research Presentation will be based on the research proposal submitted by the candidate. This evaluation will be done by the expert panels. The panel may test the subject knowledge, potential, motivation for research, communication skills, and overall research potential. Project/ Work experience in the mentioned research areas would be preferred.

3.3 Merit List Preparation

The final merit list shall be prepared based on the candidates' performance in the various evaluation components, as outlined in the table below.

Sr No.	Particular	Weightage (%)
1	IIM Mumbai Written Test	40
2	Research Presentation (RP)	15
3	Personal Interview (PI)	25
4	Past Academic Performance + Experience	20
	Total	100

The final merit list shall be prepared based on the composite score of all candidates. However, the Institute reserves the right to change the evaluation component and its weightage.

Selected candidates must join the Executive Ph.D. Programme on the starting date, as stipulated by the Institute.

3.4 Offer Acceptance, Withdrawal, and Refund

Candidates shortlisted for admission to the Executive Ph.D. Programs at IIM, Mumbai, will be intimated through online notification. Individual regret communication will not be sent to applicants. A candidate who receives an admission offer from IIM, Mumbai, and accepts the offer within the stipulated date must pay the full admission fee. If a candidate subsequently withdraws the admission at any point of time, then the paid amount will be refunded after deducting processing charges as per norms as described below:

A candidate who does not join the Programme after paying the academic charges, other annual charges, and deposits, etc., and has intimated the Institute of his/her decision of not joining, and if this intimation is received in writing by the Institute before the commencement of the Programme and if the vacant seat is filled by the waitlisted candidate then the academic charges, other charges, and deposits will be refunded as per Government of India rules vide notification no. 14-4/2007 –U.3(A).

When a Scholar discontinues from the Programme after joining the Programme or is terminated by the Institute, whether in the beginning or mid-way, and the resultant vacancy cannot be filled by the waitlisted candidates, then the academic charges and other annual charges paid by him/her will stand forfeited. However, his/her deposits (caution money) will be refunded to him/her after deducting the outstanding amounts specified with regard to the NO DUES CERTIFICATE issued by various departments.

3.5 Admission Closes

- The complete admission process shall be over before the commencement of classes.
- In all matters of interpretation, the decision of the Institute shall be final and binding on the candidate.
- Any legal matter related to rules and regulations under the Executive Ph.D. admission Process of the academic year shall be subject to Mumbai jurisdiction only.

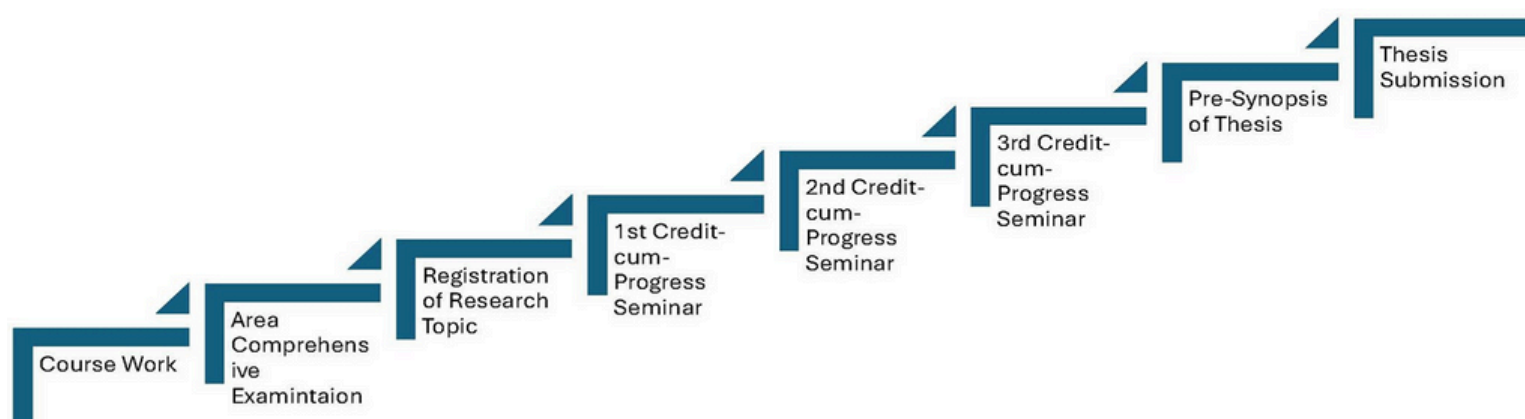
4. FEE STRUCTURE– EXECUTIVE PH.D.

S. No.	Fees Nomenclature	Ph.D. Programme	
		1ST YEAR	2nd Year Onwards At The Beginning of Each Year
	FEES	(₹)	(₹)
1	ADMISSION FEE	10000	NA
2	PROGRAMME FEE	125000	125000
3	FACILITIES CHARGES	25000	25000
4	DEPOSITS (CAUTION MONEY)	25000	NA
	TOTAL	185000	150000
IIIM Alumni Association (Membership and Contribution After Defense of Viva)			#10000



5. DURATION OF THE PROGRAMME

- The Ph.D. programme consists of the following stages: Course work, Registration cum Research Proposal seminar, Three Credit Seminars, Synopsis Submission, Thesis submission, and final viva voce examination.
- A Ph.D. Scholar may submit his/her thesis only after a minimum of 2 years from the date of registration of the research topic. The maximum time period allowed for submitting the Thesis is 5 years from the date of admission or 4 years from the date of registration of the research topic, whichever is earlier.
- If a Ph.D. scholar fails to submit the Thesis within the above time limit due to reasons beyond his/her control, he/she may apply for a grant of extension to the competent authority through TAC. In the event of an extension being granted, the scholar must pay the re-registration fee of Rs. 20,000 (Rupees Twenty Thousand only) for the first year, along with the payment of the annual fee as applicable. The extension for the second year will be permitted only in exceptional cases, accompanied by a re-registration fee of Rs. 40,000 and the applicable annual fee. The grant for the extension period is limited to a maximum of two years, following the completion of five years.
- The candidature for the Ph.D. will be deemed to be cancelled on the recommendation of the competent authority through TAC if no progress is shown by the Ph.D. candidate without any claim for a refund of fees.



6. CURRICULUM FOR EXECUTIVE PH.D.

In case of a master's degree (Full Time – 2 years or equivalent) from any IIMs/IITs/NITs or National level institute, the candidate will have to complete a total of 12 credits within one year from the date of admission.

4 Courses-12 Credits	Level I Foundation Courses (Compulsory)	Level II PGP Approved /Online Courses (Electives)	Level III Seminar Based
	1 Course 3 Credits	2 Courses 6 Credits	1 Course 3 Credits

In the case of a master's degree or equivalent in Economics, Commerce, Social Sciences, or Sciences from a recognized University/Institution, the candidate must complete a total of 18 credits within one year from the date of admission.

6 Courses-18 Credits	Level I Foundation Courses (Compulsory)	Level II PGP Approved /Online Courses (Electives)	Level III Seminar Based
	2 Courses 6 Credits	3 Courses 9 Credits	1 Course 3 Credits

In the case of graduates with a B. Tech/BE or equivalent degree from IITs/ NITs or other national-level institutes, the candidate will have to complete a total of 24 credits within one year from the date of admission.

8 Courses-24 Credits	Level I Foundation Courses (Compulsory)	Level II PGP Approved /Online Courses (Electives)	Level III Seminar Based
	3 Courses 9 Credits	4 Courses 12 Credits	1 Course 3 Credits

The credit requirement, as per the course outline, can be completed in the form of a project report and seminar (for continuous evaluation). For the final assessment, executive Ph.D. scholars shall appear for only the end-term examinations at IIM Mumbai, preferably during the regular examination period. The candidate can enrol for the courses, which will be in line with the courses taught at IIM Mumbai or as per the expertise of the TAC members.

7. GRADING SYSTEM

- The Scholar must score a minimum of 60% in total to pass a subject.
- If a Scholar obtains any grade from A+ to P in every course in a quarter, he/she has completed the credit requirements in that quarter

8. REGISTRATION OF RESEARCH TOPIC

Each Ph.D. scholar must present a registration cum research proposal seminar (RCRP) before the Thesis Advisory Committee (TAC). The RCRP seminar will be given after completing coursework and the area comprehensive examination (Modules I, II, and III). The research fellow should submit 3 copies of the registration report. This report will include the following:

- Proposed title of the thesis
- Objectives of the research
- Literature review and gaps identified
- Proposed research area and scope of the study
- Hypotheses, if any
- Framework and usefulness of the proposed research
- The methodology proposed for carrying out the research
- A list of references.

In case the research Scholar fails to successfully defend his/her research proposal, he/she will be allowed to resubmit the modified research proposal as suggested by the TAC. He/she needs to give a revised seminar based on the modified research proposal. In case he/she fails to defend it the second time, the research fellow will be liable for removal from the programme.

8.1 Effective Date of Registration:

The effective date of registration will be the date of the registration seminar at which the TAC approves the presentation made by the research fellow, provided the research fellow has subsequently submitted their application for registration within the specified timeframe.

8.2 Application for Registration:

The Scholar must apply for formal registration within one month after successfully completing the registration seminar. The application for registration must be made in the prescribed form and should be accompanied by the Title and summary of the research proposal approved during the registration seminar, as well as the registration fee.

9. REGISTRATION OF RESEARCH TOPIC

- All Ph.D. Scholars are expected to maintain continuous contact with their TAC and report their progress on a regular basis. The TAC can seek periodic progress reports from the candidate. Unsatisfactory progress in research will render the candidate liable to be removed from the programme upon the recommendation of the TAC.
- The candidate shall pay the entire prescribed fee as and when it is due. Ph.D. scholars will face automatic disqualification and removal from the programme if they are found to be admitted to any other doctoral-level programme at another institution.
- The Institute reserves the right to amend, modify, or change regulations as may be necessary, from time to time. All such changes will be binding on the Ph.D. Scholar.
- In all matters of interpretation, the Institute's decision shall be final and binding on the Scholars. Any legal matter related to rules and regulations shall be subject to the jurisdiction of Mumbai only.

Operations and Supply Chain



Balkrishna Eknath Narkhede

Professor & Chairperson – Admission
DSc(Sc. & Tech.), Ph.D., MTech, BE
Areas of Interest: Industrial Engineering
and Manufacturing Systems



Padmanav Acharya

Professor
Ph.D. (IIT KGP), M.Tech (IIT KGP), BE
Areas of Interest: Industrial Engg,
System Dynamics, Project Mgmt,
Logistics Supply Chain



B. Koteswararao Naik

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Ph.D. (IIT Delhi), MBA, B.Tech
Areas of Interest: Management of
Intellectual Property, Managing Creativity
& Innovation, Technology Mgmt,
Entrepreneurship and Project Mgmt



S K Md. Rauf Iqbal

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Ph.D. (Vidhya Sagar University),
M.Sc.(University of Calcutta)
Areas of Interest: Ergonomics ad
Human factors Engineering, Work
System Design



L Ganapathy

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Ph.D. (IIT KGP), M.Tech, B.Sc., Engineer
Areas of Interest: Quantitative
Methods, Project Mgmt



V.B Khanapuri

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Areas of Interest: Project Mgmt,
Maintenance Mgmt, BPR & IT



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Area Chair- OSCM
Ph.D. (IIT B), M.Tech (IIT B), BE
Areas of Interest: Industrial Engg,
Ops & SCM, Manufacturing Strategy,
MCDM, Additive Manufacturing



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and Coordination
Ph.D. (IIT KGP), M.Tech.(IIT
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Areas of Interest: Operations Mgmt,
Lean Manufacturing



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Ph.D. (IIT Kanpur), M.Tech (IIT Kanpur), BE
Areas of Interest: Ops Research, SCM,
Facilities Planning, Advanced Optimization
Methods, Business Statistics



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Ph.D. (IIT Bombay), M.Tech, BE
Areas of Interest: Mgmt of Technology,
Tech. Diffusion and Adoption, Technology
Transfer and Commercialization,
Technology Entrepreneurship.



Rakesh D. Raut

Associate Professor & Chairperson - PGP
Post Doctorate (LGPP), EPFL Switzerland
Fellow (NITIE), M.Tech, BE
Areas of Interest: Managing Supplier Relations,
Partner Selections, Collaborative Network
Organization, Logistic Management



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Connect & Executive Education
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Areas of Interest: Supply Chain
Management, Systems & Healthcare



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Ph.D. (IIT KGP), M.Tech, B.Tech
Areas of Interest: Manufacturing Systems,
AI and ML, Production Planning & Control,
Optimization Techniques,
Data Science for Managerial Implications



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Areas of Interest: Ops Mgmt,
Business Statistics, Applied Multivariate
Analysis, Supply Chain Mgmt



MS Mahapatra

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Areas of Interest: Ops Research, SCM,
Multi-Objective Optimization, Business
Statistics, Project Management, ML



Rofin TM

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Areas of Interest: Operations Mgmt,
Service Operations, Revenue Mgmt



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Areas of Interest: Industry 4.0, Smart &
Digital Supply Chain, Digital Innovations,
Digital Platforms, Sustainable Business
Practices, Digitalization in Manufacturing &
Supply Chain, Intelligent Manufacturing



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Areas of Interest: Logistics 4.0,
Supply Network Modelling,
Metaheuristic Techniques



Nikhil Ghag

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Areas of Interest: MSMEs,
Food Supply Chain Management,
Competitiveness, Sustainability

Analytics and Data Science



Hema A Date

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Areas of Interest: Software Engg,
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Data Mining, Business Analytics,
Knowledge Mgmt, IT Applications.



Rakesh Verma

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Areas of Interest: Operations Research



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Areas of Interest: Business Analytics,
Data Mining, Multi Channel CRM, ERP
Business Value of IT



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Programme Chair - MBA (OSCM)
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Areas of Interest: Optimization, Data Analytics,
Supply Chain Analytics, Quick Commerce,
Humanitarian Logistics



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Supply Chain Management, Shipping
and Logistics, Maritime Shipping



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Areas of Interest: Optimization,
Artificial Intelligence, ML,
Smart Manufacturing



Rony Mitra

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Area of Interest: Optimization, Data Analytics,
Credit Risk Management, Supply Chain Finance,
Operation Research



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M.Sc. (West Bengal State University)
Areas of Interest: Analytics & Data Science

Finance, Economics & Strategy



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Areas of Interest: Economics & Strategy



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Areas of Interest: International Finance, Financial Economics, Applied Econometrics, Time Series Analysis



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Areas of Interest: Environmental and Natural Resource Economics, Industrial Organization, Energy Economics
Public Policy, Transportation Economics



Mainak Mazumdar

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Ph.D.(ISEC) , M.Sc (University of Calcutta) Post Doctoral Experience (Center de Sciences Humaines, CNRS India)
Area of Interest: Economic Growth and Regional Disparity, Urbanization in India



Poonam Singh

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Ph.D. (IGIDR), M.Sc
Areas of Interest: Corp. Finance, Corp. Governance, Competition Policy, Financial Regulations, Industrial Organization, Applied Econometrics

Marketing



Manoj Kumar Jha

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Ph.D., MBA, B.E
Areas of Interest: B2B Marketing, Marketing Strategy, Software Engineering, MIS



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Professor & Dean – Faculty Affairs
Post-Doc (Johns Hopkins University, USA), Ph.D., MBA
Areas of Interest: B2B Marketing, Pricing, Services Marketing, Digital Marketing



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Areas of Interest: Marketing Research, Marketing Analytics, Consumer Behavior, Services Marketing



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Ph.D., PGDM (IIM-A), B.Tech (IIT KGP)
Areas of Interest: Consumer Behaviour, Big Data-Driven Consumer Analytics, Social Media Marketing, Marketing Engineering and Analytics, Qualitative & Quantitative Research Methods.

Organisational Behaviour and HRM



D K Srivastava

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Ph.D. (IIT B), M.A (University of Allahabad)
Areas of Interest: Organizational Behaviour and HRM



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Areas of Interest: Organisation Behaviour, Human Resource Mgmt



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T Prasad

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Areas of Interest: Innovation, Entrepreneurship, Reinventing Higher Education



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Sustainability Management



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Areas of Interest: Business Strategies for Sustainability, Adoption and Diffusion of Cleaner Technologies, Stakeholder Engagement and Strategic CSR



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Programme Chair, MBA(SM)
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Disha Sharma

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Postdoc (UN ESCAP, Max Planck Institute, Princeton University),PhD, M.Sc (Environmental Sc.),B.Sc
Area of Interest : Climate Mitigation and Environment Policy

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Three modern
hostel blocks



Full-fledged library with
extensive online databases



Multimedia-enabled
classrooms



Sports & recreational
facilities



Campus Attractions:

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Multimedia enabled classrooms

Sports & Recreational Facilities

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Lokmanya Tilak Terminus (LTT): LTT is another major railway station in Mumbai, and it offers good connectivity to IIM Mumbai. The campus is approximately a 20-minute drive from LTT.

Andheri Railway Station: Andheri is one of the key suburban railway stations in Mumbai. From Andheri, you can reach IIM Mumbai by road in about 25 minutes.

Nearest Airport:

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